

(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: SMD-135	Serial Number: 10/618,096
	Applicant: Hampl, Jr., et al.	
	Filing Date: July 11, 2003 Confirmation No: 4956	Group Art Unit: 1731

NOTE: If no indication is made in the column marked "COPY NOTE," the required legible copy of the corresponding item is submitted herewith; otherwise, a copy is not required and/or not submitted, for the following reason(s) [corresponding reason number is listed in "COPY NOTE" column]"

- (1) This item is cumulative, per Rule 98(c)
- (2) A copy of this item was previously cited by or submitted to the U.S. Patent and Trademark Office in:
 USSN _____, filed _____, or
 USSN _____, filed _____; Relied on under 35 U.S.C. Section 120, per Rule 98(d)
- (3) Both reasons (1) and (2) apply
- (4) No legible complete copy is possessed, in custody of controlled, or readily available
- (5) Per the U.S. Patent and Trademark Office's waiver of Rule 98(a)(2)(i), the item is a U.S. patent or patent application publication, and the present application was filed after June 30, 2003.

U.S. PATENT DOCUMENTS

EXAMINER INITIALS	PATENTEE NAME	PATENT NUMBER								ISSUE DATE	COPY NOTE
	Seaman	1	9	9	6	0	0	2		03/26/1935	5
	Seaman	2	0	1	3	5	0	8		09/03/1935	5
	Gregory	2	7	7	6	9	1	2		01/08/1957	5
	Hagen	3	2	1	5	5	7	9		11/02/1965	5
	Tamol	3	5	1	1	2	4	7		05/12/1970	5
	Tamol	3	5	2	6	9	0	4		09/01/1970	5
	O'Grady	3	6	2	0	8	0	1		11/16/1971	5
	Tamol, et al.	3	6	9	9	9	7	3		10/24/1972	5
	Saint-Pastou	3	7	3	6	9	4	0		06/05/1973	5
	Houck, Jr., et al.	3	9	1	1	9	3	2		10/14/1975	5
	Baer	3	9	4	3	7	6	2		03/16/1976	5
	Baker, et al.	4	0	7	7	4	1	4		03/07/1978	5
	Hind, et al.	4	1	2	9	1	3	4		12/12/1978	5
	Cohn	4	1	4	6	0	4	0		03/27/1979	5
	Bohrn, et al.	4	2	2	2	7	4	0		09/16/1980	5
	Jaisle, et al.	4	2	6	7	2	4	0		05/12/1981	5
	Simon	4	3	0	3	0	8	4		12/01/1981	5
	Finlayson, III, et al.	4	3	5	1	6	3	8		09/28/1982	5
	Norman, et al.	4	4	5	2	2	5	9		06/05/1984	5
	Cohn	4	4	5	3	5	5	3		06/12/1984	5
	Brooks	4	4	8	1	9	6	0		11/13/1984	5
	Dashley, et al.	4	6	0	7	6	4	7		08/26/1986	5
	Durocher	4	6	1	5	3	4	5		10/07/1986	5
	Yamaguchi, et al.	4	6	7	9	5	7	5		07/14/1987	5
	Zimmermann, et al.	4	8	8	0	8	7	0		11/14/1989	5
	Adams, et al.	4	8	8	9	1	4	5		12/26/1989	5
	Riedesser	4	9	8	4	5	8	9		01/15/1991	5
	Kallianos, et al.	4	9	9	8	5	4	2		03/12/1991	5
	Garbe	5	0	5	7	6	0	6		10/15/1991	5
	Washington	5	1	4	4	9	6	6		09/08/1992	5
	Rosario	5	1	6	1	5	4	9		11/10/1992	5
	Riggs, et al.	5	1	7	8	1	6	7		01/12/1993	5
	Gentry	5	2	2	0	9	3	0		06/22/1993	5
	Washington	5	2	2	1	5	0	2		06/22/1993	5
	Raker, et al.	5	2	6	1	4	2	5		11/16/1993	5
	Baldwin, et al.	5	2	6	3	9	9	9		11/23/1993	5
	Arzonico, et al.	5	2	7	1	4	1	9		12/21/1993	5

(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: SMD-135	Serial Number: 10/618,096
	Applicant: Hampl, Jr., et al.	
	Filing Date: July 11, 2003 Confirmation No: 4956	Group Art Unit: 1731

	Baldwin, et al.	5	4	1	7	2	2	8	05/23/1995	5
	Hultman, et al.	5	6	9	0	7	8	7	11/25/1997	5
	Ishino, et al.	5	7	2	2	4	3	3	03/03/1998	5
	Ishino, et al.	5	8	4	9	1	5	3	12/15/1998	5
	Wallace, et al.	6	1	2	9	0	8	7	10/10/2000	5
	Hampl, Jr.	6	3	1	4	9	6	4	11/13/2001	5
	Crooks, et al.	6	6	0	6	9	9	9	08/19/2003	5
	Cerami, et al.	6	6	1	5	8	4	2	09/9/2003	5
	Hajaligol, et al.	6	6	3	7	4	3	9	10/28/2003	5
	Hammersmith, et al.	6	6	4	5	6	0	5	11/11/2003	5
	Baskevitch, et al.	6	6	7	9	2	7	0	01/20/2004	5
	Peterson, et al.	6	7	2	5	8	6	7	04/27/2004	5
	Kraker	6	7	7	9	5	3	0	08/24/2004	5
	Zawadzki, et al.	6	8	3	7	2	4	8	01/04/2005	5

U.S. PATENT APPLICATION PUBLICATIONS

EXAMINER INITIALS	APPLICANT'S NAME	PUBLICATION NUMBER	PUBLICATION DATE	COPY NOTE
	Shafer, et al.	2002	0 0 0 0 2 3 5	01/2002 5
	Zawadzki, et al. Abandoned	2002	0 1 7 9 1 0 6	12/05/2002 5
	Ashcraft, et al.	2003	0 1 3 1 8 6 0	07/17/2003 5
	Tsutsumi, et al.	2004	0 0 1 1 3 6 8	01/22/2004 5
	Tosas Fuentes, et al.	2004	0 0 2 0 5 0 2	02/05/2004 5
	Wanna, et al.	2004	0 0 9 4 1 7 1	05/20/2004 5
	Zawadzki, et al.	2004	0 1 2 3 8 7 4	07/01/2004 5
	Monsalud, Jr., et al.	2004	0 1 7 7 8 5 6	09/16/2004 5
	Kraker	2004	0 2 5 5 9 6 6	12/23/2004 5
	Hampl, Jr., et al.	2005	0 0 0 5 9 4 7	01/13/2005 5
	Wanna, et al.	2005	0 0 5 6 2 9 4	03/17/2005 5

FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	TRANSLATION			COPY NOTE
				YES	NO*	N/A	
	EP	0193607B1	09/10/1986			X	
	EP	0290911	11/17/1988			X	
	EP	0386884A2	09/12/1990			X	
	EP	0419975A2 & A3	04/03/1991			X	
	GB	848332	09/14/1960			X	
	WO	0141590A1	06/14/2001			X	
	WO	0237991	05/16/2002			X	
	WO	02078471A1	10/10/2002			X	
	WO	02067704A1	09/06/2002			X	
	WO w/English Abstract	03064165A1	08/07/2003		X		
	WO w/English Abstract	03034845A1	05/01/2003		X		

* "NO" means that no copy of an English language translation is within the possession, custody, or control of, or is readily available to any individual designated in Rule 56(c).

(Rev. 5/92) Information Disclosure Statement List By Applicant(s) Under 37 CFR Section 1.98(a) (1) (Use several sheets if necessary)	Attorney Docket Number: SMD-135	Serial Number: 10/618,096
	Applicant: Hampl, Jr., et al.	
	Filing Date: July 11, 2003 Confirmation No: 4956	Group Art Unit: 1731

EXAMINER INITIALS	OTHER DOCUMENTS [Specify author (if any), Title, Pertinent Pages, Date & Place of Publication]	COPY NOTE
	Program Booklet and Abstracts, Volume 57, 57th Tobacco Science Research Conference, September 21-24, 2003, Norfolk, Virginia, 2 pages	
	Abstract of Article – The effect of tobacco ingredients on smoke chemistry. Part I: Flavourings and additives, R. R. Baker, Silva de Pereira, Jr., and G. Smith, Food Chem. Toxicol., Vol. 42, 2004, Suppl:S3-37, 2 pages	
	Search Report and Written Opinion for PCT/US2005/039090, 03/24/2006	
	European Search Report dated 5/2/2008	

EXAMINER	DATE CONSIDERED
Examiner: initial if citation considered; whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include a copy of this form with the next communication to applicant.	